

Hans J. ten Donkelaar Martin Lammens Akira Hori



Clinical Neuroembryology

Development and Developmental Disorders of the Human Central Nervous System

Second Edition



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Preface to the Second Edition

Apart from a general updating of the extensive literature on developmental neurobiology, neurogenetics, imaging and developmental neuropathology between 2005 and 2013, more emphasis has been given to: (a) imaging of the embryonic brain (early prenatal diagnosis by ultrasound); (b) imaging of the fetal brain by MRI; (c) DTI studies on the development of major fibre connections such as the pyramidal tract and the corpus callosum; and (d) the impact of newer genetic techniques such as whole exome/genome sequencing. Moreover, new classifications of brain disorders have been implemented such as a new classification of midbrain-hindbrain developmental disorders and entire new families of disorders such as ciliopathies and dystroglycanopathies. Throughout the book, several new Clinical Cases have been added.

Several colleagues kindly contributed as new co-authors their expertise to this second edition, including Eleonora Aronica (Amsterdam), Mireille Bekker (Nijmegen), Kyoko Itoh (Kyoto), Karin Kamphuis-van Ulzen (Nijmegen), Irene Mathijssen (Rotterdam), Ronald Pennings and Hans van Bokhoven (Nijmegen), Patrick van der Voorn (Amsterdam) and Shigehito Yamada (Kyoto). They also contributed new Clinical Cases. For other new Clinical Cases, the help of Remke Dullemond (Rotterdam), Janet Eyre (Newcastle), Floris Groenendaal (Utrecht), Gregor Kasprian (Vienna), Hajime Miyata (Akita), Peter Nikkels (Utrecht), Tetsu Niwa (Yokohama), Andrea Poretti (Zurich), Ritsuko Pooh (Osaka), Goran Simić (Zagreb) and Marjolein Willemsen (Nijmegen) is gratefully acknowledged. New illustrations were also kindly provided by Marco Catani and Michel Thiebaut de Schotten (London), Cyrille Ferrier (Utrecht), Hao Huang (Dallas), Ole Kiehn (Stockholm), Grace Lai (New York), Anna Lavezzi (Milan) and Maria Thom (London). A long weekend with Luis Puelles in Murcia greatly helped the first author to implement new findings on the prosomeric model of the developing brain.

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Fig. 6.10 Organization of motor pools in the chick hindlimb (**a**) and primary motoneurons (**b**) in the zebrafish. (**a**) Motor pools (*mp*) of the sartorius (*S*), femorotibialis (*F*), adductor (*A*) and ischioflexor (*I*) muscles and their targets are shown in different colours. (**b**) Primary motoneuron types, characterized by different *LIM3* and *Isl1/2* codes, are shown for one neuromuscular segment. *CaP* caudal primary motoneuron, *dlb* dorsal limb, *MiP* medial primary motoneuron, *RoP* rostral primary motoneuron, *VaP* variable type of primary motoneuron, *vlb* ventral limb (After Pfaff and Kintner 1998)

in contrast to *Netrin-1* and *DCC* mutants (Rabe Bernhardt et al. 2012). Mutations in *DCC* in humans cause congenital mirror movements (Sect. 6.7.4).

Motoneuron diseases (MNDs) form an etiologically heterogeneous group of disorders characterized by muscle weakness and/or spastic paralysis, which results from the selective degeneration of lower motoneurons (spinal and bulbar motoneurons) and/or upper motoneurons (corticospinal neurons). The MNDs include the adult-onset amyotrophic lateral sclerosis (ALS), primary lateral sclerosis (PLS) and spinal bulbar muscular atrophy (SBMA), hereditary spastic paralysis and spinal muscular atrophy, both arising from early childhood onwards, and the fetal MND lethal congenital contracture syndrome (LCCS). Spinal muscular atrophy (SMA) is an autosomal recessive MND and is one of the most common genetic diseases that cause infant mortality (Lorson et al. 1998). SMA is characterized by the loss of spinal anterior horn cells, hypotonia and progressive denervation of skeletal muscles (Dubowitz 1995; Simić et al. 2008) and is classified into several types (Clinical Case 6.1).



Fig. 6.11 Diagram of the rodent CPG. Flexor and extensor motoneurons (MNs) are driven to rhythmicity by alternating excitation and inhibition. Excitatory rhythm-generating neurons, therefore, need to drive premotor inhibitory neurons. Candidate premotor inhibitory neurons are Ia-interneurons connected in a reciprocal pattern belonging to the V1 population and possibly the V2b population (rIa-IN, V1, V2b?), and non-reciprocal group I-interneurons (not indicated). Some rhythmic premotor inhibition is also mediated via crossed connections and V1-related Renshaw cells (RC) activity. V2a-interneurons have connections to motoneurons. Other types of ipsilateral excitatory neurons besides the V2 neurons generate the rhythm and the drive to motoneurons, directly and indirectly. These include Hb9 and ipsilateral V3 interneurons. The rhythm-generating core and V2a-interneurons also drive the left-right coordinating circuits. Some hypothetical inhibitory reciprocal connections between flexor and extensor rhythm-generating modules may serve a distinct role in securing flexor-extensor alternation (V1, V2b?) (After Kiehn 2011; kindly provided by Ole Kiehn, Stockholm)

The various manifestations of *hereditary spastic paraple*gia (HSP) comprise, after ALS, the second most important group of MNDs. The various spastic paraplegia (SPG) loci are associated with different forms of HSP (reviewed in Dion et al. 2009). SPG types relate to axonal transport and membrane trafficking, mitochondrial dysfunction, Schwann cell-related HSP and other cellular dysfunctions. Two HSP causitive genes for the L1 cell adhesion molecule (L1CAM) and the proteolipid protein 1 (PLP1) underlie two X-linked forms of HSP (Jouet et al. 1994; Saugier-Veber et al. 1994). The L1CAM-associated HSP (SPG1) is the most common form of complicated HSP. The transmembrane protein L1CAM is expressed in neurons and Schwann cells and may have a role in the development of the CNS (Hortsch 2000). Mutations in PLP1, associated with SPG2, have been found in families with complicated HSP and also cause Pelizaeus-Merzbacher disease (Inoue 2005; Chap. 2). L1CAM mutations are further discussed in Sect. 6.7.4.

Degeneration of spinal motoneurons is also one of the characteristics of the *lethal congenital contracture syndrome (LCCS)* as shown in Clinical Case 6.2.

Clinical Case 6.1. Spinal Muscular Atrophy

Spinal muscular atrophy (*SMA*) is an autosomal MND characterized by the loss of spinal anterior horn cells, hypotonia and progressive denervation of skeletal muscles. According to age at onset and severity, SMA is classified in several types (Dubowitz 1995):

- 1. SMA-I (Werdnig-Hoffmann disease, acute SMA) with onset usually before 9 months; the affected infants fail to achieve early motor milestones, are never able to sit and usually die within the first 2 years of life after respiratory failure;
- SMA-II, the intermediate or chronic infantile form, with onset around 3–15 months; children with SMA-II may sit but do not learn to ambulate;



Fig. 6.12 Accumulation of heterotopic (migratory) motoneurons (*mmn*) at the anterior rim of the spinal cord in: (**a**) a female 5-monthold SMA-I subject, (**b**) a male 8-month-old SMA-I subject, and (**c**) in some sections, particularly those of younger SMA-I subjects, more than ten heterotopic motoneurons 'aligned' at the front wall of the spinal cord (*lower left corner arrow*) or outside the spinal cord (*lower right corner arrow*). *AH* anterior horn, *VR* ventral root. *Scale bars*=20 μ m (From Simić et al. 2008; kindly provided by Goran Simić, Zagreb)

- SMA-III (Kugelberg-Welander disease) with onset between 1 and 15 years; these children are able to achieve walking and generally live into adulthood;
- 4. SMA-IV, a rare adult form with onset after 30 years of age.

SMA types I-III are all caused by loss-of-function mutations or deletions of *SMN1* on chromosome 5q13 (Lefebvre et al. 1995). The SMN protein is most abundant in the cytoplasm of α -motoneurons (Battaglia et al. 1997). Together with the degeneration and subsequent loss of anterior horn cells (α - and γ -motoneurons as well as interneurons), 'empty cell beds', glial cell bundles of ventral spinal roots, and heterotopic motoneurons (HMNs) are the most obvious neuropathological findings (Simić et al. 2008).

Simić et al. (2008) examined the occurrence and amount of HMNs in spinal cord tissue from 8 children with SMA (6 with SMA-I and 2 with SMA-II). All were carrying a homozygous deletion of exon 7 in the SMN1 gene. All SMA subjects showed a significant number of HMNs at all levels of the spinal cord. Heterotopic neurons were hyperchromatic, located mostly in the ventral white matter and had no axon or dendrites (Fig. 6.12). More than half of the HMNs were very undifferentiated, as shown by their lack of immunoreactivity for NeuN and MAP2 proteins. With in situ end labelling (ISEL) HMNs in the ventral outflow were found to die by necrosis. Simić et al. (2008) suggested that abnormal migration, differentiation and lack of axonal outgrowth may induce motoneuron apoptosis, predominantly during early stages, whereas a slower necrosis-like cell death of displaced motoneurons which 'escaped' apoptosis characterizes later stages of SMA.

This case was kindly provided by Goran Simić (Department of Neuroscience, Croatian Institute for Brain Research, Medical School of Zagreb, Croatia).

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Clinical Case 6.4. L1CAM Mutation

L1CAM is a neural cell adhesion molecule expressed in the developing nervous system. A number of X-linked human neurological disorders with links to the *L1CAM* gene have been reported, including X-linked hydrocephalus, MASA syndrome, X-linked spastic paraplegia and CRASH syndrome (see Case Report). The phenotype common to these disorders is congenital hydrocephalus, but the underlying mechanism remains to be elucidated.

Case report. A male fetus was stillborn at the 21st week of gestation. He was the first boy with no family history. Fetal ultrasonography and MRI at the 18th week of gestation led to the prenatal diagnosis of fetal hydrocephalus with adducted thumbs, characteristic for the phenotype of an L1CAM mutation (Fig. 6.30a–f). The

cerebral hemispheres normal convexity (Fig. 6.30g). In sections, the cerebrum showed hydrocephalus with a thin wall of the dorsal telencephalon, absence of the corpus callosum and fused thalami (Fig. 6.30h). Histologically, the cerebral cortices of the frontal, parietal, temporal and occipital lobes were normally formed. The cerebellum also showed normal cortical lamination. The genetic analysis revealed an *L1CAM* mutation at 818–820 DEL.

Unfortunately, the spinal cord could not be examined, but in the brain stem at the facial nerve level, the pyramidal tract was not evident (Fig. 6.30i) as it should have been as found in an age-matched control (Fig. 6.30j).

This case was kindly provided by Kyoko Itoh (Department of Pathology and Applied Neurobiology, Graduate School of Medical Science, Kyoto Prefectural University of Medicine, Japan).



Fig. 6.30 (**a**–**d**) Fetal MRIs at the 18th week of gestation showing the extensive hydrocephalus of a L1CAM case; (**e**, **f**) 3D-ultrasound (**e**) showing the adducted thumb of the fetus, confirmed at autopsy (**f**); the cerebral hemispheres showed normal convexity (**g**); in sections (**h**), the thin wall of the telencephalon is evident as well as

agenesis of the corpus callosum and fused thalami; (i, j) transverse, HE-stained sections through the brain stem at the level of the facial nerve, showing near-absence of the pyramidal tract in the L1CAM case (i) versus its presence in an age-matched control (j) (The photomicrographs were kindly provided by Kyoko Itoh, Kyoto)

6.8 Developmental Anomalies of the Spinal Cord

Developmental anomalies of the spinal cord include rare malformations such as anomalies of histogenesis, duplications, neurenteric cysts and abnormal course or even absence of fibre tracts and more common malformations such as syringomyelia. The most common malformations of the spinal cord, the neural tube defects, are discussed in Chap. 4.

6.8.1 Anomalies of Histogenesis

Small grey matter ectopia are found regularly in the spinal cord. Hori (1981, 1998) noted a frequency of 2 % in autopsies. Neuronal heterotopia in the white matter were also found incidentally in 2 % of autopsies (Hori 1981, 1998). Intramedullary heterotopic nerve cells may be more frequent in amyotrophic lateral sclerosis (Kozlowski et al. 1989; Martin et al. 1993; Sasaki and Iwata 1998). Quite often there are heterotopic nerve cells in the posterior as well as in the



Fig. 6.31 Duplications of the spinal cord. Summary of the morphologic features of cases of dimyelia, diastematomyelia and diplomyelia: (**a**–**c**) (Hori et al. 1982); (**d**) (Rokos 1975); (**e**) (Benstead 1953); (**f**) (James and Lassman 1964); (**g**, **l**) (Emery and Lendon 1974; reverse form of (**l**) with four posterior and two anterior columns: Vinters and Gilbert 1981); (**h**) (Kersten 1954; James and Lassman 1972);

(i) (Griepentrog 1953); (j) (Haas 1952); (k) (von Sántha 1930); (m) (Hori et al. 1982; Clinical Case 6.1); (n) (Dominok 1962); (o) (Környey 1925); (p) (Schneiderling 1938). Apart from those indicated by *crosses* (left lateral views), anteroposterior views of the spinal cord are shown. *Broken lines* indicate the dura (After Hori et al. 1982)

anterior spinal nerve roots (Hori 1988a). Heterotopic neurons in the posterior roots originate from the posterior spinal ganglion and those in the anterior roots may originate from the anterior horn as well as from the posterior spinal ganglion. Abnormal motoneuron migration, differentiation and lack of axonal outgrowth may play an important role in spinal muscular atrophy (Simić et al. 2008; Clinical Case 6.1).

6.8.2 Duplications of the Spinal Cord

Ectopic expression of *Gcm1* induces congenital spinal cord abnormalities (Nait-Oumesmar et al. 2002). Brief ectopic expression of *Gcm1* in mouse embryonic tail buds leads to spina bifida and/or multiple neural tubes. *Duplications* of the *spinal cord* are rare malformations of the human nervous system. Hori et al. (1982) described four types of total or partial duplication of the human spinal cord, using the following subdivision (Fig. 6.31): (1) dimyelia, a complete duplication of the spinal cord; (2) diplomyelia, an isolated accessory spinal cord without roots at the ventral lumbosacral level; (3) complex diastematomyelia (*diastema* is Greek for split); and (4)

typical diastematomyelia. Dimyelia was observed in a female stillborn dicephalus dibrachius. Histologically, the two spinal cords showed symmetric medial hemihypoplasia that included the spinal roots (Fig. 6.31a). The term dimyelia should be restricted to cases with a total duplication of the spinal cord. Diplomyelia was found in a newborn girl with a cardiovascular malformation (Clinical Case 6.5). The term diplomyelia should be limited to cases of an isolated accessory spinal cord, ventral or dorsal to the normal cord (Környey 1925; Schneiderling 1938; Dominok 1962; Hori et al. 1982; Pang et al. 1992; Hori 1998). Diastematomyelia means a lateral bifurcation of the spinal cord, independent of whether or not the branches show completely differentiated cord structures with four columns and segmental roots. Diastematomyelia is usually associated with a bony spur or a cartilaginous or fibrous septum in the spinal canal. Typical, complex and 'forme fruste' forms can be distinguished (Fig. 6.31). A complex form was found in a 9-day-old boy with a Chiari II malformation and a thoracic meningomyelocele. The left branch of the cord showed further complex anomalies. A typical form was observed in a stillborn girl, born to an adolescent mother at 34 weeks of gestation (Fig. 6.32).

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